Corporate Application

V1.ENG

PURE DMA TRADING
GUARANTEED
STP EXECUTION

tier1fx

Tier1fx Account Registration

Country

Step 1

Account Details

Currency*

☐ EUR ☐ USD ☐ GBP

Platform*

☐ Metatrader 4 ☐ JForex ☐ Fix API ☐ Fortex 5/6

Account type*

☐ Trading Account ☐ Managed Account

Partner Name

* Mandatory Field

Step 2

Company Details

Registration details

Company*

Email*

Company Type*

Company Registration Nº*

Country of Incorporation*

Date of Incorporation*

Country of Tax Residence*

Tax Identification Number*

Nature of Business/Primary Activities

* Mandatory Field

Step 2

Company Details

Registration details

Registered Address Line 1*

Registered Address Line 2*

City*

Postcode*

Country*

Head Office Telephone*

Website

Correspondence Address

If different from the Registered Address

* Mandatory Field

Step 3

Financial Details

Approx. Annual Turnover (EUR)*

Expected Initial Deposit (EUR)*

Approx. Total Net Worth (EUR)*

Source of Funds for Trading*

Available Funds for Trading (EUR)*

Bank details

Please enter the details of the bank account that you will use to fund your Tier1fx Account.
You can add additional accounts later on from your Client Portal.*

☐ EU ☐ Non-EU Account

Bank Name*

Swift Code/BIC*

IBAN*

Beneficiary Name*

Bank Address*

Account Number

Does the Bank require an intermediary?

☐ NO ☐ YES Please fill below

Intermediary Bank Name*

Country*

Swift Code/BIC*

* Mandatory Field

Step 4

Authorised Person

Authorised Person will be the only one authorised to issue instructions for the account.

Title*

Gender*

First name(s)*

Last name(s)*

Date of Birth DD/MM/YYYY*

Place of Birth*

Citizenship*

Country of residence*

ID Type*

☐ Passport ☐ National ID Card ☐ Driving License

ID Number*

Employment Status**

Company Name*

Industry*

Occupation*

Highest Level of Education*

Preferred Language of Communication

☐ English speaker

* Mandatory Field

** Employed, Self-Employed, Unemployed, Retired, Student

Contact information

Address*

City*

Country*

Postal Code*

Alternative Email*

Telephone*

Mobile number*

Have you or any of your immediate family members or close associates held any senior political, state, military or diplomatic position in the last 12 months?*

☐ NO ☐ YES

Are you a US reportable person under FATCA?*

☐ NO ☐ YES

* Mandatory Field

Step 5

Client Classification & Regulatory Framework

To be answered with regards to the company and not the authorised person

The following section is aimed at determining your correct classification as a client, in terms of Market in Financial Instrument Directive (MiFID II) Client Categorization and Tier1fx Client Categorization. This categorization is required by law and is aimed at providing you with the best possible protection as a client. Please answer the following questions below truthfully and to the best of your abilities.

Do you have previous investment and trading experience in foreign exchange or any other margin traded instrument?*

☐ NO ☐ YES

Have you been, or are you currently employed in the financial sector in a professional position, which requires knowledge of the transactions and services envisaged with Tier1fx?*

☐ NO ☐ YES < 1 year ☐ YES > 1 year

How many years have you been trading in the financial markets?*

☐ 0 ☐ < 1 year ☐ 1-3 year ☐ > 5 year

Which product(s) have you been trading?*

☐ FX & CFDs ☐ Stocks ☐ Bonds & Shares ☐ Futures ☐ Others

How many quarterly transactions have been carried out in your organisation's name over the past four quarters, that were of significant size for the relevant market?*

☐ < 10 ☐ 10-20 ☐ > 20

What is your investment objective?

☐ Hedging ☐ Capital Growth ☐ Speculation ☐ Income ☐ Other

What is the size of your organisation's financial instrument portfolio, including cash deposits and financial instruments (in EUR)?*

☐ < 500,000 ☐ 500,000-1,000,000 ☐ > 1,000,000

* Mandatory Field

Regulatory Framework

To be answered with regards to the company and not the authorised person

Is the Company a national or regional government or a public body that manages public debt or international, and supranational institutions?*

☐ NO ☐ YES

Is the Company a collective investment scheme?

☐ NO ☐ YES

Is the company authorised, regulated and/or licensed to operate in the financial markets?

☐ NO ☐ YES Please fill in accordingly the fields below

Regulatory Authority Name

License Number

Country of Regulation

License Expiration

Have any of the company´s Directors Shareholders or any their immediate family members or close associates held any senior political, state, military or diplomatic position in the last 12 months?*

☐ NO ☐ YES

Is any of the company Directors or Shareholders a US reportable person under FATCA?*

☐ NO ☐ YES

Please indicate your organisation's classification under EMIR* (Choose one)

☐ Financial Counterparty ☐ Non-Financial Counterparty

LEI (Legal Entity Identifier):
Under the European Markets Infrastructure Regulation (EMIR) and Market in Financial Instrument Directive (MiFID II), you are required to provide us with a Legal Entity Identifier (LEI) number. If you do not currently have one, please contact your Local Operating Unit (LOUs) of the Global LEI System.

☐ The company does not have a LEI yet*

LEI is required by law in terms of EMIR and MiFID II reporting and can be obtained from your Local Operating Unit. Your account can be activated for trading only after LEI is provided. Please contact Tier1fx Support Team if you require further details on how to obtain a LEI.

Step 6

Agreements & Acknowledgments

Trading in derivative financial instruments via Tier1fx trading platform is not equally suitable for all clients. The product offering of Tier1fx is mainly directed at the experienced, independently acting investor. In this regard, the Applicant hereby declares the following:

- [ ] Derivative products are suitable as part of my investment objectives and attitude towards risk and I am able to assess the risks involved in trading them.
- [ ] The funds deposited on behalf of the entity are the property of the entity and do not represent any third party.
- [ ] All statements made by myself in conjuction with opening an account with Tier1fx are true and complete. I confirm that the document that will be submitted have neither been tampered with nor digitally edited.
- [ ] In the event that I have any doubts whatsoever on the product offered by Tier1fx or markets in general, I shall seek professional independent advice.
- [ ] By competing this application form, accessing Tier1fx website or other service we provide to you, you consent to Tier1fx collecting, maintaining, using and disclosing personal information about you and provided by you as described in our Data Protection Policy.

Customer Agreement and Policies: (tick them up to agree every document)

- [ ] Customer Agreement Link to PDF
- [ ] "Appendix I. AML. STATEMENT" Link to PDF
- [ ] "Appendix II. CLIENT CATEGORISATION" Link to PDF
- [ ] "Appendix III. PRIVACY POLICY & DATA PROTECTION" Link to PDF
- [ ] "Appendix IV. RISK DISCLOSURES AND ACKNOWLEDGEMENTS" Link to PDF
- [ ] "Appendix V. BEST EXECUTION POLICY" Link to PDF
- [ ] "Appendix VI. CONFLICTS OF INTEREST POLICY" Link to PDF
- [ ] "Appendix VII. REFERRAL AGENTS DISCLAIMER" Link to PDF
- [ ] "Appendix VIII. COMPLAINTS HANDLING POLICY" Link to PDF
- [ ] "Appendix IX. LEVERAGE POLICY" Link to PDF
- [ ] "Appendix X. USE OF EXPERT ADVISERS POLICY" Link to PDF
- [ ] "Appendix XI. CLIENT PORTAL/EPM/3RD PARTY SOFTWARE" Link to PDF

Tier1FX Newsletter

- [ ] Yes, I would like to receive TIER1FX' latest Company News

Full Name*

Authorised Person signature *

Date DD/MM/YYYY*

* Mandatory Field

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