

# Individual Application

V01/2022



PURE DMA TRADING  
GUARANTEED  
STP EXECUTION

 tier1fx

Step 1

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Step 5

## Tier1fx Account Registration

Country

Step 1

### Account Details

Currency\*

EUR

USD

GBP

Platform\*

Metatrader 4

JForex

Fix API

Fortex 5/6

Account type\*

Trading Account

Managed Account

Partner Name

\* Mandatory Field

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Step 2

## Personal Details

### Registration Details

Title\*

Gender\*

First name(s)\*

Last name(s)\*

Date of Birth DD/MM/YYYY\*

Place of Birth\*

Citizenship\*

Country of residence\*

ID Type\*

Passport

National ID  
Card

Driving  
License

ID Number\*

Employment Status\*\*

Company Name\*

Industry\*

Occupation\*

Highest Level of Education\*

Preferred Language of Communication

Country of TAX Residence\*

English speaker

Tax Identification Number\*

\* Mandatory Field

\*\* Employed, Self-Employed, Unemployed, Retired, Student

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Step 2

## Personal Details

### Contact information

Address\*

City\*

Country\*

Postcode\*

Alternate Email\*

Telephone\*

Mobile number\*

Time at current address\*

Years

Months

If less than 1 Year 0 months, add the previous address

Address\*

City\*

Country\*

Postcode\*

Have you or any of your immediate family members or close associates have held any senior political, state, military or diplomatic position in the last 12 months?

NO

YES

Are you a US reportable person under FATCA?\*

NO

YES

\* Mandatory Field

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## Financial Details

Approx. Annual income (EUR)\*

Approx. Liquid Assets (EUR)\*

Available Funds for Trading (EUR)\*

Anticipated Initial Deposit (EUR)\*

Source of Funds for Trading (EUR)\*

### Bank details

Please enter the details of the bank account that you will use to fund your Tier1fx Account. You can add additional accounts later on from your Client Portal.\*

EU

Non-EU Account

Bank Name\*

Swift Code/BIC\*

IBAN\*

Beneficiary Name\*

Bank Address\*

Account Number

Does the Bank require an intermediary?

NO

YES Please fill below

Intermediary Bank Name\*

Country\*

Swift Code/BIC\*

\* Mandatory Field

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## Client Classification & Regulatory Framework

The following section is aimed at determining your correct classification as a client, in terms of Market in Financial Instrument Directive (MiFID II) Client Categorization and Tier1fx Client Categorization. This categorization is required by law and is aimed at providing you with the best possible protection as a client. Please answer the following questions below truthfully and to the best of your abilities.

Do you have previous investment and trading experience in foreign exchange or any other margin traded instrument?\*

NO YES

Have you been, or are you currently employed in the financial sector in a professional position, which requires knowledge of the transactions and services envisaged with Tier1fx?\*

NO YES < 1 year YES > 1 year

How many years have you been trading in the financial markets?\*

0 < 1 year 1-3 year > 5 year

Which product(s) have you been trading?\*

FX & CFDs Stocks Bonds & Shares Futures Others

How many quarterly transactions have been carried out in your name over the past four quarters, that were of significant size for the relevant market?\*

< 10 10-20 > 20

What is your investment objective?

Hedging Capital Growth Speculation Income Other

\* Mandatory Field



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## Client Classification & Regulatory Framework

What is the size of your financial instrument portfolio, including cash deposits and financial instruments (in EUR)?\*

< 500,000      500,000-1,000,000      > 1,000,000

Have you ever been a registered with CFTC, NFA, SEC, FINRA, FSA, BaFin, etc?

NO      YES

Are you required to registered with a regulator?

NO      YES

Are you an employee of an exchange or regulator organization?

NO      YES

Have you ever declared bankruptcy?

NO      YES

\* Mandatory Field

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## Agreements & Acknowledgments

Trading in derivative financial instruments via Tier1fx trading platform is not equally suitable for all clients. The product offering of Tier1fx is mainly directed at the experienced, independently acting investor. In this regard, the Applicant hereby declares the following:

Derivative products are suitable as part of my investment objectives and attitude towards risk and I am able to assess the risks involved in trading them.

The funds deposited on behalf of the entity are the property of the entity and do not represent any third party.

All statements made by myself in conjunction with opening an account with Tier1fx are true and complete.

I confirm that the document that will be submitted have neither been tampered with nor digitally edited.

In the event that I have any doubts whatsoever on the product offered by Tier1fx or markets in general, I shall seek professional independent advice.

By completing this application form, accessing Tier1fx website or other service we provide to you, you consent to Tier1fx collecting, maintaining, using and disclosing personal information about you and provided by you as described in our Data Protection Policy.

### Client Agreement and Policies: (tick them up to agree every document)

Client Agreement [Link to PDF](#)

"Appendix I. AML. STATEMENT" [Link to PDF](#)

"Appendix II. CLIENT CATEGORISATION" [Link to PDF](#)

"Appendix III. PRIVACY POLICY & DATA PROTECTION" [Link to PDF](#)

"Appendix IV. RISK DISCLOSURES AND ACKNOWLEDGEMENTS" [Link to PDF](#)

"Appendix V. BEST EXECUTION POLICY" [Link to PDF](#)

"Appendix VI. CONFLICTS OF INTEREST POLICY" [Link to PDF](#)

"Appendix VII. REFERRAL AGENTS DISCLAIMER" [Link to PDF](#)

"Appendix VIII. COMPLAINTS HANDLING POLICY" [Link to PDF](#)

"Appendix IX. LEVERAGE POLICY" [Link to PDF](#)

"Appendix X. USE OF EXPERT ADVISERS POLICY" [Link to PDF](#)

"Appendix XI. CLIENT PORTAL/EPM/3RD PARTY SOFTWARE" [Link to PDF](#)

### Tier1FX Newsletter

Yes, I would like to receive TIER1FX' latest Company News

Full Name\*

Signature \*

Date DD/MM/YYYY\*

\* Mandatory Field





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